

Substitute for Form 1449/PTO

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet

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of

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Attorney Docket Number

42P14868X

Application Number

10/099,649

Filing Date

March 15, 2002

First Named Inventor:

McDonald et al.

Art Unit

2828

Examiner Name

Jackson, Cornelius H.

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
C.H.B.	1.	US-	3,788,743	01-29-1974	George	
	2.	US-	3,899,748	08-12-1975	Bodlaj	
	3.	US-	3,921,099	11-18-1975	Abrams et al.	
	4.	US-	3,965,440	06-22-1976	Graves	
	5.	US-	3,967,211	06-29-1976	Itzkan et al.	
	6.	US-	4,309,671	01-05-1982	Malyon	
	7.	US-	4,504,950	03-12-1985	Au Yeung	
	8.	US-	4,560,246	12-24-1985	Cotter	
	9.	US-	4,583,227	04-15-1986	Kirkby	
	10.	US-	4,730,105	03-08-1988	Mitschke et al.	
	11.	US-	4,770,047	09-13-1988	Arditty et al.	
	12.	US-	4,839,614	06-13-1989	Hill et al.	
	13.	US-	4,843,233	06-27-1989	Jeunhomme	
	14.	US-	4,870,269	09-26-1989	Jeunhomme et al.	
	15.	US-	4,932,782	06-12-1990	Graindorge et al.	
	16.	US-	4,934,816	06-19-1990	Silver et al.	
	17.	US-	4,994,677	02-19-1991	Graindorge	
	18.	US-	5,022,745	06-11-1991	Zayhowski et al.	
	19.	US-	5,028,395	07-02-1991	Sebille et al.	
	20.	US-	5,058,124	10-15-1991	Cameron et al.	
	21.	US-	5,103,457	04-07-1992	Wallace et al.	
	22.	US-	5,115,677	05-26-1992	Martin et al.	
	23.	US-	5,141,316	08-25-1992	Lefevre et al.	
	24.	US-	5,172,185	12-15-1992	Leuchs et al.	
	25.	US-	5,181,071	01-19-1993	Lefevre et al.	
	26.	US-	5,185,643	02-09-1993	Vry et al.	
	27.	US-	5,218,610	06-08-1993	Dixon	
	28.	US-	5,225,930	07-06-1993	Land et al.	
C.H.B.	29.	US-	5,245,626	09-14-1993	Burke et al.	
	30.	US-	5,251,222	10-05-1993	Hester et al.	

Examiner
Signature

Date Considered

9/17/04

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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/099,649
Filing Date	March 15, 2002
First Named Inventor:	McDonald et al.
Art Unit	2828
Examiner Name	Jackson, Cornelius H.
Attorney Docket Number	42P14868X

Sheet 2 of 4

of

4

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
CHA	31.	US-	5,270,791	12-14-1993	Lefevre et al.	
	32.	US-	5,289,491	02-22-1994	Dixon	
	33.	US-	5,305,330	04-19-1994	Rieder et al.	
	34.	US-	5,319,668	06-07-1994	Luecke	
	35.	US-	5,321,717	06-14-1994	Adachi et al.	
	36.	US-	5,327,447	07-05-1994	Mooradian	
	37.	US-	5,347,527	09-13-1994	Favre et al.	
	38.	US-	5,349,439	09-20-1994	Graindorge et al.	
	39.	US-	5,349,440	09-20-1994	DeGroot	
	40.	US-	5,373,515	12-13-1994	Wakabayashi et al.	
	41.	US-	5,387,974	02-07-1995	Nakatani	
	42.	US-	5,412,474	05-02-1995	Reasenberget al.	
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	44.	US-	5,420,687	05-30-1995	Kachanov	
	45.	US-	5,428,700	06-27-1995	Hall	
	46.	US-	5,438,579	08-01-1995	Eda et al.	
	47.	US-	5,450,202	09-12-1995	Tisue	
	48.	US-	5,473,625	12-05-1995	Hansen et al.	
	49.	US-	5,543,916	08-06-1996	Kachanov	
	50.	US-	5,583,638	12-10-1996	Cutler	
	51.	US-	5,594,744	01-14-1997	Lefevre et al.	
	52.	US-	5,606,439	02-25-1997	Wu	
	53.	US-	5,631,736	05-20-1997	Thiel et al.	
	54.	US-	5,712,704	01-27-1998	Martin et al.	
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	56.	US-	5,737,109	04-07-1998	Goodwin	
	57.	US-	5,760,391	06-02-1998	Narendran	
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	CHA	60.	US-	5,886,785	03-23-1999	Lefevre et al.

Examiner Signature

C.H.A.

Date Considered

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Substitute for Form 1449/PTO			Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/099,649
			Filing Date	March 15, 2002
			First Named Inventor:	McDonald et al.
			Art Unit	2828
			Examiner Name	Jackson, Cornelius H.
Sheet	of	4	Attorney Docket Number	42P14868X

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
			Number-Kind Code ² (if known)			
CEN	61.	US-	5,946,331	08-31-1999	Amersfoort et al.	
	62.	US-	5,991,061	11-23-1999	Adams et al.	
	63.	US-	6,061,369	05-09-2000	Conradi	
	64.	US-	6,084,695	07-04-2000	Martin et al.	
	65.	US-	6,141,370	10-31-2000	Avrutsky et al.	
	66.	US-	6,151,337	11-21-2000	Carlsten et al.	
	67.	US-	RE 37,044 E	02-06-2001	Wu	
	68.	US-	6,192,058 B1	02-20-2001	Abeles	
	69.	US-	6,201,638 B1	03-13-2001	Hall et al.	
	70.	US-	6,205,159 B1	03-20-2001	Sesko et al.	
	71.	US-	6,243,517 B1	06-05-2001	Deacon	
	72.	US-	6,246,480 B1	06-12-2001	O'Brien	
	73.	US-	6,249,364 B1	06-19-2001	Martin et al.	
	74.	US-	6,252,718 B1	06-26-2001	Lefevre	
	75.	US-	6,263,004 B1	07-17-2001	Arvidsson et al.	
	76.	US-	6,304,586 B1	10-16-2001	Pease et al.	
	77.	US-	6,321,011 B2	11-20-2001	Deacon	
	78.	US-	6,324,204 B1	11-27-2001	Deacon	
	79.	US-	6,330,253 B1	12-11-2001	Tuganov et al.	
	80.	US-	6,331,892 B1	12-18-2001	Green	
81.	US-	6,470,036 B1	10-22-2002	Bailey et al.		
82.	US-	6,600,760 B1	07-29-2003	Green et al.		

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^a
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
CKN	83.	EP	0924628 A2		06-23-1999	Hewlett-Packard Company		
CKN	84.	WO	98/44424		10-08-1998	EL-MAR Software Ltd.		

Examiner Signature		Date Considered	2/17/04
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Sheet 4	of 4	Attorney Docket Number	42P14868X

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
CHJ	85.	FAVRE, F. et al., "External-Cavity Semiconductor Laser With 15nm Continuous Tuning Range," <i>ELECTRONICS LETTERS</i> , Vol. 22, No. 15, July 19, 1986, pp. 795-796.	
	86.	MACLEOD, H.A., "Thin Film Optical Filters," 2 nd Edition, McGraw-Hill, 1989, pp. 244-269.	
	87.	MELLIS, J. et al., "Miniature Packaged External-Cavity Semiconductor Laser with 50GHz Continuous Electrical Tuning Range," <i>ELECTRONICS LETTERS</i> , Vol. 24, No. 16, April 22, 1988, pp. 988-989.	
	88.	ROSENBERG, K. P. et al., "Logarithmically Variable Infrared Etalon Filters," <i>SPIE</i> , Vol. 2262, pp. 223-232.	
	89.	SCOBEY, M. and STUPIK, P., "Stable Ultra-Narrow Bandpass Filters," <i>SPIE</i> , Vol. 2262, pp. 37-46.	
CHJ	90.	TAKAHASHI, H., "Temperature Stability of Thin-Film Narrow-Bandpass Filters Produced by Ion-Assisted Deposition," <i>APPLIED OPTICS</i> , Vol. 34, No. 4, February 1, 1995, pp. 667-675.	

Examiner Signature		Date Considered	8/17/04
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